



# REPORT ON CONTRACTOR'S PERFORMANCE

State Form 19896 (R7 / 1-07) / CR-2  
DEPARTMENT OF TRANSPORTATION

Name of contractor <b>ATLAS EXCAVATING, INC.</b>		Contract number <b>R-27698</b>
District <b>CRAWFORDSVILLE</b>		Contract amount <b>\$ 1,010,000.00</b>
Project location / description <b>ON C.R. 200 WEST FROM S.R. 28 TO C.R. "O" IN CLINTON Co.</b>		
Name of contractor person in charge at site <b>MIKE ROMINGER / JEREMY DAVIS</b>		Work performed <b>STORM STRUCTURES, ETC., GRADING</b>
This contractor is: <input checked="" type="checkbox"/> Prime contractor <input type="checkbox"/> Sub-contractor		Date report completed (month, day, year) <b>Nov. 2, 2007</b>
This report is: <input type="checkbox"/> Interim <input type="checkbox"/> Year-end <input checked="" type="checkbox"/> Final		

GRADING SCALE	
Unless a different point system is shown for a question, use only the following scale to grade performance of the contractor on each question. Do not use any numbers not shown.	
+2	For outstanding performance throughout the project that strongly contributed to the success of the project
+1	For performance above expectations throughout the project
0	For adequate performance meeting expectations
-1	For periodic inadequate performance, causing occasional problems that adversely affected the project
-3	For consistently inadequate performance, causing constant problems adversely impacting the project
NA	If there were insufficient opportunities to observe contractor performance in this area

SECTION A - ORGANIZATION EQUIPMENT AND PERSONNEL	POINTS
1. Contractor management personnel were knowledgeable and available with full authority to execute contract documents and implement the directions of the engineer.	0
2. The project was staffed and managed with competent (non-supervisory) employees.	+1
3. Contractor management personnel effectively anticipated upcoming issues and effectively scheduled and organized construction operations, including subcontractors.	0
4. The superintendent or foremen on the jobsite had the required knowledge and training to comply with the plans, special provisions, and specifications on the project.	+1
5. The contractor complied with applicable wage rates and employment regulations and timely submitted accurate certified payrolls.	+1
6. The contractor complied with EEO and DBE requirements and procedures.	-1
7. The contractor ensured that subcontractors were knowledgeable and capable to perform the subcontracted work.	+1
8. The contractor provided safe, adequate, and well-maintained equipment to perform the required work.	+1
<b>SECTION A - TOTAL POINTS</b>	<b>8+4</b>

SECTION B - PROSECUTION OF WORK	POINTS
1. The contractor furnished the required documentation and reports in a timely manner (i.e. certification of materials, delivery tickets, invoices, progress schedules, shop drawings, material sampling, early notice of time extension, potential claims, etc.)	0
2. The contractor informed the PE / PS in advance of the daily schedule.	+1
3. The contractor submitted, followed, and kept the work schedule up-to-date and met intermediate and final completion dates.	+1
4. The contractor complied with the phasing requirements.	+1
5. The contractor complied with the direction of INDOT personnel.	-1
6. The project site was maintained in a safe condition and the work conducted in a safe manner.	+1
7. Traffic control was maintained as specified in the traffic plan for the safety of the public and project.	+1
8. The contractor followed contract requirements for maintaining erosion control, disposal of materials, borrow sites, and other environmental matters.	0
<b>SECTION B - TOTAL POINTS</b>	<b>8+4</b>

SECTION C - GENERAL RELATIONSHIPS / COOPERATION	POINTS
1. The contractor's supervisory personnel demonstrated a positive attitude toward the PE / PS and department regulations, including the INDOT chain of command.	0
2. The contractor properly notified and coordinated work with utility companies for the protection of existing utilities.	+1
3. The contractor planned, scheduled, and coordinated the work with subcontractors.	+1
4. The contractor coordinated with other contractors performing work on adjacent projects.	+1
5. Contract issues and disputes were settled at the appropriate level.	+1
6. The contractor responded to contract issues and disputes in a timely manner.	-1
7. The contractor showed consideration to the traveling public.	+1
8. The contractor communicated and cooperated with the adjacent property owners and local agencies.	+1
<b>SECTION C - TOTAL POINTS</b>	<b>8+5</b>

SECTION D - QUALITY OF MATERIALS AND WORKMANSHIP	POINTS
1. The contractor provided an adequate and fully equipped field office throughout the project.	+1
2. The contractor assured consistent quality of work performed, eliminating the need to remedy or remove defective work.	0
3. The contractor completed the punch list and final cleanup as directed by the PE / PS.	+1
4. The contractor managed the work to maximize the quality of work and use of good construction practices.	0
5. The contractor met the smoothness requirements of the contract.	N/A
6. The prime contractor exercised authority and monitored the subcontractor's activities to ensure approved materials and quality work.	+1
7. The contractor ensured that an overall safe project was conducted.	+1
8. The overall project was of high quality and conformed to the contractual requirements.	0
<b>SECTION D - TOTAL POINTS</b>	<b>8+4</b>

CONTRACTOR SCORE		A total score below zero in any Section or a score of -3 on any question may be cause for immediate referral to the Prequalification Committee. The total scores will be used in the overall contractor evaluation process.
Section	Points Received	
A	0 + 2	
B	8 + 4	
C	8 + 5	
D	8 + 4	
<b>TOTAL</b>	<b>8 + 15 = 17</b>	

GENERAL REMARKS (Attach additional sheets, if necessary.)

OVERALL, THIS PROJECT WAS A SUCCESS. A COUPLE OF DISAGREEMENTS REGARDING CONSTRUCTION PRACTICES AND MATERIAL QUANTITIES KEPT THIS PROJECT FROM BEING A COMPLETE SUCCESS. THE SCHEDULING OF SO EQUIPMENT AND THE COORDINATION OF THE SUBCONTRACTORS WAS EXCELLENT, ALONG WITH THE COORDINATION AND TIMING OF THE WORK DONE BY THE RAILROAD.

- TRAINEE WAS ON PROJECT FOR A VERY SHORT PERIOD OF TIME.
- AGREEMENTS ON MATERIALS + QUANTITY TO PAY SOME TIMES TOOK A LONG TIME TO COMPLETE.

Name of PE / PS <b>Ramon Del Real</b>	Signature of PE / PS <b>R. Del Real</b>	Date (month, day, year) <b>Nov. 2, 07</b>
Name of Area Engineer (concur) <b>John W. Shaw</b>	Signature of Area Engineer <b>John W. Shaw</b>	Date (month, day, year) <b>11-8-07</b>

The contractor's superintendent acknowledges receipt of this form and that an opportunity was provided to discuss the ratings.		
Name of contractor's superintendent <b>TWA Dillon</b>	Signature of contractor's superintendent <b>TWA Dillon</b>	Date (month, day, year) <b>11-14-07</b>

Date of final inspection (month, day, year)  
**Nov. 1, 2007**

\*\*\*Atlas was not given an opportunity to discuss ratings.  
\*\*\*Pay estimates were not processed in a timely manner.

